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A class of solvable Lie algebras and their Casimir invariants

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Abstract

A nilpotent Lie algebra $\mathfrak{n}_{n,1}$ with an (n-1)-dimensional Abelian ideal is studied. All indecomposable solvable Lie algebras with $\mathfrak{n}_{n,1}$ as their nilradical are obtained. Their dimension is at most n+2. The generalized Casimir invariants of $\mathfrak{n}_{n,1}$ and of its solvable extensions are calculated. For n=4 these algebras figure in the Petrov classification of Einstein spaces. For larger values of n they can be used in a more general classification of Riemannian manifolds.

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1. Introduction

The purpose of this paper is to classify a certain type of finite-dimensional solvable Lie algebras, existing for any dimension n with $n \ge 4$. These Lie algebras will be described below. Here we shall first present our motivation for performing this investigation.

Lie groups and Lie algebras appear in physics in many different guises. They may be *a priori* parts of the physical theory, like Lorentz or Galilei invariance of most theories, or the (semi-) simple Lie groups of the standard model in particle theory.

Alternatively, specific Lie groups may appear as consequences of specific dynamics. Consider any physical system with dynamics described by a system of ordinary or partial differential equations. This system of equations will be invariant under some local Lie group of local point transformations, taking solutions into solutions. This symmetry group G and its Lie algebra $\mathfrak g$ can be determined in an algorithmic manner [1]. The Lie algebra $\mathfrak g$ is obtained as an algebra of vector fields, usually in some nonstandard basis, depending on the way in which the algorithm is applied.

An immediate task is to identify the algebra found as being isomorphic to some known abstract Lie algebra. To do this we must transform it to a canonical basis in which all basis-independent properties are manifest. Thus, if g is decomposable into a direct sum, it should be explicitly decomposed into components that are further indecomposable

$$\mathfrak{g} = \mathfrak{g}_1 \oplus \mathfrak{g}_2 \oplus \cdots \oplus \mathfrak{g}_k. \tag{1}$$

Each indecomposable component must be further identified. Let $\mathfrak g$ now denote such an indecomposable Lie algebra. A fundamental theorem due to Levi [2, 3] tells us that any finite-dimensional Lie algebra can be represented as the semidirect sum

$$g = l \oplus r,$$
 $[l, l] = l,$ $[r, r] \subset r,$ $[l, r] \subseteq r,$ (2)

where \mathfrak{l} is semisimple and \mathfrak{r} is the radical of \mathfrak{g} , i.e. its maximal solvable ideal. If \mathfrak{g} is simple, we have $\mathfrak{r}=0$. If \mathfrak{g} is solvable, we have $\mathfrak{l}=0$.

Semisimple Lie algebras over the field of complex numbers \mathbb{C} have been completely classified by Cartan [4], over the field of real numbers \mathbb{R} by Gantmacher [5] (see, e.g., [6]).

Algorithms realizing decompositions (1), (2) exist [7]. The 'weak' link in the classification of Lie algebras is that not all solvable Lie algebras are known.

There are two ways of proceeding in the classification of Lie algebras, in particular solvable ones: by dimension, or by structure.

The dimensional approach for real Lie algebras was started by Bianchi [8] who classified all real Lie algebras of dimension 2 and 3. Those of dimension 4 were classified by Kruchkovich [9]. Further work in this direction is due to Morozov (nilpotent Lie algebras up to dimension 6) [10], Mubarakzyanov [11–14], Patera *et al* [15] and Turkowski [16, 17]. The classification of low-dimensional Lie algebras over $\mathbb C$ was started earlier by Lie himself [18].

The most interesting physical application of the classification of low-dimensional Lie algebras is in general relativity. Indeed, the classification of Einstein spaces according to their isometry groups [19] is based on the work of Bianchi and his successors [8, 9]. The Petrov classification concerns Einstein spaces of dimension 4 and hence involves isometry groups of relatively low dimensions [19, 20].

String theory [21, 22], brane cosmology [23] and some other elementary particle theories going beyond the standard model require the use of higher-dimensional spaces. Any attempt at a Lie group classification of such spaces will require knowledge of higher-dimensional Lie groups, including solvable ones.

It seems to be neither feasible nor fruitful to proceed by dimension in the classification of Lie algebras \mathfrak{g} beyond dim $\mathfrak{g}=6$. It is however possible to proceed by structure.

Any solvable Lie algebra $\mathfrak g$ has a uniquely defined nilradical NR($\mathfrak g$), i.e. maximal nilpotent ideal, satisfying

$$\dim NR(\mathfrak{g}) \geqslant \frac{1}{2}\dim \mathfrak{g}. \tag{3}$$

Hence we can consider a given nilpotent algebra of dimension n as a nilradical and then find all of its extensions to solvable Lie algebras. In previous articles this has been performed for the following nilpotent Lie algebras: Heisenberg algebras $\mathfrak{h}(N)$ (where $\dim \mathfrak{h}(N) = 2N+1, N \geqslant 1$) [24], Abelian Lie algebras $\mathfrak{a}_n, n \geqslant 1$ [25, 26], 'triangular' Lie algebras $\mathfrak{t}(N)$, ($\dim \mathfrak{t}(N) = \frac{N(N-1)}{2}, N \geqslant 2$) [27, 28].

Here we shall consider a class of nilpotent algebras that, for want of a better notation, we shall call $\mathfrak{n}_{n,1}$, where the subscript denotes the dimension of $\mathfrak{n}_{n,1}$, $n=3,4,\ldots$ This algebra has an (n-1)-dimensional Abelian ideal with the basis (e_1,\ldots,e_{n-1}) . The Lie brackets are

given by

$$[e_j, e_k] = 0,$$
 $1 \le j, k \le n - 1,$ (4)
 $[e_1, e_n] = 0,$ $[e_k, e_n] = e_{k-1},$ $2 \le k \le n - 1.$

Thus the action of the element e_n on the Abelian ideal is given by an indecomposable nilpotent Jordan matrix

$$M = \begin{pmatrix} 0 & 0 & \dots & 0 & 0 \\ 1 & 0 & \dots & 0 & 0 \\ 0 & 1 & \dots & 0 & 0 \\ \vdots & & \ddots & & \vdots \\ 0 & 0 & \dots & 1 & 0 \end{pmatrix} \in F^{(n-1)\times(n-1)}.$$
 (5)

We shall consider this algebra over the field F, where we have $F = \mathbb{R}$, or $F = \mathbb{C}$.

We mention that for n=3 we have $\mathfrak{n}_{3,1} \simeq \mathfrak{h}(1) \simeq \mathfrak{t}(3)$. The algebra $\mathfrak{n}_{4,1}$ is the only four-dimensional indecomposable nilpotent Lie algebra. The algebra $\mathfrak{n}_{n,1}$ exists for any integer n satisfying $n \geq 3$ and for $n \geq 4$ it is no longer isomorphic to $\mathfrak{h}(N)$ nor $\mathfrak{t}(N)$.

2. Mathematical preliminaries

2.1. Basic concepts

Three different series of subalgebras can be associated with any given Lie algebra. The dimensions of the subalgebras in each of these series are important characteristics of the given Lie algebra.

The derived series
$$\mathfrak{g} = \mathfrak{g}^{(0)} \supseteq \mathfrak{g}^{(1)} \supseteq \ldots \supseteq \mathfrak{g}^{(k)} \supseteq \ldots$$
 is defined recursively $\mathfrak{g}^{(k)} = [\mathfrak{g}^{(k-1)}, \mathfrak{g}^{(k-1)}], \qquad \mathfrak{g}^{(0)} = \mathfrak{g}.$

If the derived series terminates, i.e. there exists $k \in \mathbb{N}$ such that $\mathfrak{g}^{(k)} = 0$, then \mathfrak{g} is called a *solvable Lie algebra*.

The lower central series
$$\mathfrak{g} = \mathfrak{g}^0 \supseteq \mathfrak{g}^1 \supseteq \ldots \supseteq \mathfrak{g}^k \supseteq \ldots$$
 is again defined recursively $\mathfrak{g}^k = [\mathfrak{g}^{k-1}, \mathfrak{g}], \qquad \mathfrak{g}^0 = \mathfrak{g}.$

If the lower central series terminates, i.e. there exists $k \in \mathbb{N}$ such that $\mathfrak{g}^k = 0$, then \mathfrak{g} is called a *nilpotent Lie algebra*. The lowest value of k for which we have $\mathfrak{g}^k = 0$ is the degree of nilpotency of a nilpotent Lie algebra.

Obviously, a nilpotent Lie algebra is also solvable. An Abelian Lie algebra is nilpotent of degree 1.

The upper central series is $\mathfrak{z}_1 \subseteq \ldots \subseteq \mathfrak{z}_k \subseteq \ldots \subseteq \mathfrak{g}$. In this series \mathfrak{z}_1 is the centre of \mathfrak{g}

$$\mathfrak{z}_1 = C(\mathfrak{g}) = \{x \in \mathfrak{g} | [x, y] = 0, \forall y \in \mathfrak{g}\}.$$

Now let us consider the factor algebra $\mathfrak{f}_1 \simeq \mathfrak{g}/\mathfrak{z}_1$. Its centre is $C(\mathfrak{f}_1) = C(\mathfrak{g}/\mathfrak{z}_1)$. We define the *second centre* of \mathfrak{g} to be

$$\mathfrak{z}_2 = \mathfrak{z}_1 \oplus C(\mathfrak{g}/\mathfrak{z}_1). \tag{6}$$

Recursively we define higher centres as

$$\mathfrak{z}_{k+1} = \mathfrak{z}_k \oplus C(\mathfrak{g}/\mathfrak{z}_k). \tag{7}$$

For nilpotent Lie algebras the upper central series terminates, i.e. there exists l such that $\mathfrak{z}_l = \mathfrak{g}$. We shall call these three series the *characteristic series* of the algebra \mathfrak{g} . We shall use the

notations DS, CS and US for sets of integers denoting the dimensions of subalgebras in the derived, lower central and upper central series, respectively.

The *centralizer* $\mathfrak{g}_{\mathfrak{h}}$ of a given subalgebra $\mathfrak{h} \subset \mathfrak{g}$ in \mathfrak{g} is the set of all elements in \mathfrak{g} commuting with all elements in \mathfrak{h} , i.e.

$$\mathfrak{g}_{\mathfrak{h}} = \{ x \in \mathfrak{g} | [x, y] = 0, \forall y \in \mathfrak{h} \}. \tag{8}$$

A derivation D of a given Lie algebra g is a linear map

$$D:\mathfrak{g}\to\mathfrak{g}$$

such that for any pair x, y of elements of \mathfrak{g}

$$D([x, y]) = [D(x), y] + [x, D(y)].$$
(9)

If an element $z \in \mathfrak{g}$ exists, such that

$$D = \operatorname{ad}_{z}$$
, i.e. $D(x) = [z, x]$, $\forall x \in G$,

the derivation is called an inner derivation, any other one is an outer derivation.

2.2. Solvable Lie algebras with a given nilradical

Any solvable Lie algebra $\mathfrak s$ contains a unique maximal nilpotent ideal $\mathfrak n = NR(\mathfrak s)$, the nilradical $\mathfrak n$. The dimension of the nilradical satisfies (3) [3]. We will assume that $\mathfrak n$ is known. That is, in some basis (e_1, \ldots, e_n) we know the Lie brackets

$$[e_a, e_b] = N_{ab}^c e_c. \tag{10}$$

We wish to extend the nilpotent algebra n to all possible indecomposable solvable Lie algebras $\mathfrak s$ having n as their nilradical. Thus, we add further elements f_1, \ldots, f_p to the basis (e_1, \ldots, e_n) which together form a basis of $\mathfrak s$. The derived algebra of a solvable Lie algebra is contained in the nilradical [3], i.e.

$$[\mathfrak{s},\mathfrak{s}]\subseteq\mathfrak{n}.$$
 (11)

It follows that the Lie brackets on \$ satisfy

$$[f_i, e_a] = (A_i)_a^b e_b, \qquad 1 \leqslant i \leqslant p, \qquad 1 \leqslant a \leqslant n, \tag{12}$$

$$[f_i, f_j] = \gamma_{ij}^a e_a, \qquad 1 \leqslant i, j \leqslant p. \tag{13}$$

The matrix elements of the matrices A_i must satisfy certain linear relations following from the Jacobi relations between the elements (f_i, e_a, e_b) . The Jacobi identities between the triples (f_i, f_j, e_a) will provide linear expressions for the structure constants γ_{ij}^a in terms of the matrix elements of the commutators of the matrices A_i and A_j .

Since \mathfrak{n} is the maximal nilpotent ideal of \mathfrak{s} , the matrices A_i must satisfy another condition; no nontrivial linear combination of them is a nilpotent matrix, i.e. they are *linearly nil-independent*.

Let us now consider the adjoint representation of \mathfrak{s} , restrict it to the nilradical \mathfrak{n} and find $\mathrm{ad}|_{\mathfrak{n}}(f_k)$. It follows from the Jacobi identities that $\mathrm{ad}|_{\mathfrak{n}}(f_k)$ is a derivation of \mathfrak{n} . In other words, finding all sets of matrices A_i in (12) satisfying the Jacobi identities is equivalent to finding all sets of outer nil-independent derivations of \mathfrak{n}

$$D^{1} = \mathrm{ad}|_{\mathfrak{n}}(f_{1}), \dots, D^{p} = \mathrm{ad}|_{\mathfrak{n}}(f_{p}).$$
 (14)

Furthermore, in view of (11), the commutators $[D^j, D^k]$ must be inner derivations of \mathfrak{n} . This requirement determines the structure constants γ^a_{ij} , i.e. the Lie brackets (13), up to elements in the centre $C(\mathfrak{n})$ of \mathfrak{n} .

Different sets of derivations may correspond to isomorphic Lie algebras, so redundancies must be eliminated. In terms of the Lie brackets (12) and (13) it means that the matrices A_i and constants γ_{ij}^a must be classified into equivalence classes and a representative of each class must be chosen. Equivalence is considered under the following transformations:

$$f_i \to \tilde{f}_i = \rho_{ij} f_j + \sigma_{ia} e_a, \qquad e_a \to \tilde{e}_a = R_{ab} e_b$$
 (15)

where ρ is an invertible $p \times p$ matrix, σ is a $p \times n$ matrix and the invertible $n \times n$ matrix R must be chosen so that the Lie brackets (10) are preserved.

2.3. A type of indecomposable nilpotent Lie algebras

Any nilpotent Lie algebra $\mathfrak n$ will contain a maximal Abelian subalgebra $\mathfrak a$, not necessarily unique. We have [10]

$$\frac{1}{2}(\sqrt{8n+1}-1) \leqslant \dim \mathfrak{a} \leqslant \dim \mathfrak{n} = n. \tag{16}$$

If dim $\mathfrak{a} = \dim \mathfrak{n}$, then $\mathfrak{n} = \mathfrak{a}$ is Abelian. The case that we are interested in is the next closest to Abelian, namely dim $\mathfrak{n} = n$, dim $\mathfrak{a} = n - 1$. Let us choose a basis $(e_1, \ldots, e_{n-1}, e_n)$ of \mathfrak{n} , where (e_1, \ldots, e_{n-1}) is a basis of \mathfrak{a} . The Lie brackets for \mathfrak{n} are

$$[e_j, e_k] = 0, \quad 1 \leqslant j, k \leqslant n - 1, \quad [e_k, e_n] = \sum_{l=1}^{n-1} N_{kl} e_l, \quad 1 \leqslant k \leqslant n - 1.$$
 (17)

The matrix $N \in \mathbf{F}^{(n-1)\times (n-1)}$ must be a nilpotent matrix, otherwise the algebra \mathfrak{n} will not be nilpotent. Elements of the centre $C(\mathfrak{n})$ will correspond to the kernel KerN of the matrix N. Elements of the derived algebra $\mathfrak{n}^{(1)}$ will correspond to the image Im N of the matrix N. In order for the algebra \mathfrak{n} to be indecomposable, we must have

$$\operatorname{Ker} N \subseteq \operatorname{Im} N. \tag{18}$$

Performing a change of basis within the Abelian algebra \mathfrak{a} , we can transform the matrix N to its Jordan canonical form. This can be one indecomposable nilpotent block, or several blocks. Condition (18) forbids the presence of one-dimensional blocks. There are as many mutually nonisomorphic algebras as there are nonequivalent partitions of n-1 into sums of positive integers satisfying

$$n-1 = n_1 + n_2 + \dots + n_l, \qquad n_i \geqslant n_{i-1}, \qquad n_i \neq 1.$$
 (19)

We shall denote the corresponding Lie algebras $\mathfrak{n}_{n,k}$ where $n = \dim \mathfrak{n}_{n,k}$ and k enumerates the different isomorphy classes for given n, i.e. the number of allowed partitions of n-1.

The rest of this paper will be devoted to the algebras $\mathfrak{n}_{n,1}$ with the matrix $N_{n,1}$ given by one indecomposable Jordan block. We shall find all extensions of these algebras to solvable Lie algebras with nilradical $\mathfrak{n}_{n,1}$. The Lie brackets for $\mathfrak{n}_{n,1}$ were already given in equation (4).

3. Classification of solvable Lie algebras with the nilradical $\mathfrak{n}_{n,1}$

3.1. Nilpotent algebra $\mathfrak{n}_{n,1}$

The Lie algebra $\mathfrak{n}_{n,1}$ is defined by the Lie brackets (4) of the introduction. We shall consider $n \ge 4$. The dimensions of the subalgebras in the characteristic series are

$$DS = [n, n-2, 0],$$
 $CS = [n, n-2, n-3, ..., 1, 0],$ $US = [1, 2, ..., n-2, n].$ (20)

Its maximal Abelian ideal \mathfrak{a} can be identified with the centralizer of the highest centre $\mathfrak{z}_{n-2} = \operatorname{span}\{e_1, \ldots, e_{n-2}\}$, i.e. $\mathfrak{a} = \operatorname{span}\{e_1, \ldots, e_{n-1}\}$. Hence \mathfrak{a} is unique.

In order to find all non-nilpotent derivations of $\mathfrak n$ we assign to D its matrix

$$D(e_a) = D_{ab}e_b$$

and evaluate condition (9) for basis elements $x = e_i$, $y = e_j$. We find

- $i = 1 < j < n : D_{1n}e_{j-1} = 0$,
- $1 < i < j < n : D_{in}e_{j-1} D_{jn}e_{i-1} = 0$,
- $i = 1, j = n : \sum_{k=1}^{n-1} D_{1,k+1} e_k = 0,$
- $1 < i < j = n : (D_{i-1,i-1} D_{ii} D_{nn})e_{i-1} + \sum_{k=1,k \neq i-1}^{n-1} (D_{i-1,k} D_{i,k+1})e_k = 0.$

From the first and second equations we immediately get

$$D_{in} = 0, 1 \leq i < n,$$

from the third

$$D_{1k} = 0, \quad 1 < k.$$

In the last one the coefficients of linearly independent elements in the sum must be zero, therefore considering

$$(D_{i-1,k} - D_{i,k+1}) = 0,$$
 $k \neq i-1$

we obtain by induction

$$D_{ij} = 0,$$
 $D_{ji} = D_{j-1,i-1} = D_{j-i+1,1},$ $i < j.$ (21)

The remaining recursion relations

$$D_{i-1,i-1} - D_{ii} - D_{nn} = 0$$

can be most easily solved from the 'lower right corner', denoting

$$D_{nn} = \alpha,$$
 $D_{n-1,n-1} = \beta$

we find

$$D_{ii} = (n - i - 1)\alpha + \beta, \qquad 1 \le i \le n - 1.$$
 (22)

Thus we have solved the derivation property (9) for all basis elements of n. Finding the inner derivations is elementary and we may write

Lemma 1. The algebra of derivations of the nilpotent algebra $\mathfrak{n}_{n,1}$ is expressible in the standard basis (e_1, \ldots, e_n) of $\mathfrak{n}_{n,1}$ as the algebra of lower triangular matrices D whose elements satisfy

$$D_{ii} = (n - i + 1)D_{nn} + D_{n-1,n-1}, 1 \le i \le n - 1,$$

$$D_{ji} = D_{j-i+1,1}, 1 \le i < j \le n - 1.$$

Its subalgebra of inner derivations is

$$\operatorname{span}\{\operatorname{ad}_{e_2},\ldots,\operatorname{ad}_{e_n}\},\$$

where

$$(\mathrm{ad}_{e_i})_{ab} = \delta_{an}\delta_{b,j-1}, \qquad \forall j \leqslant n-1, \qquad (\mathrm{ad}_{e_n})_{ab} = -\delta_{a,b+1}.$$

3.2. Construction of solvable Lie algebras with nilradical $\mathfrak{n}_{n,1}$

As was explained in section 2.2, to find all solvable Lie algebras with nilradical $\mathfrak{n}_{n,1}$ we must find all nonequivalent nil-independent sets $\{D^1, \ldots, D^p\}$ of derivations $\mathfrak{n}_{n,1}$. The equivalence is generated by the following transformations:

- (i) We may add any inner derivation to D^k .
- (ii) We may perform a change of basis in n such that the Lie brackets are not changed. Since any such change must inter alia preserve the lower central series and the subalgebra α, the matrix of such transformations must be lower triagonal. The preservation of Lie brackets then imposes certain further relations. We may decompose any such transformation into a composition of scaling

$$e_n \to \tilde{e}_n = \omega e_n, \qquad e_k \to \tilde{e}_k = \tau \omega^{n-k-1} e_k, \qquad 1 \leqslant k \leqslant n-1 \quad (23)$$

and the transformation

$$e_{k} \to \tilde{e}_{k} = e_{k} + \sum_{j=1}^{k-1} u_{k-j} e_{j}, \qquad 1 \leqslant k \leqslant n-1, \qquad u_{1}, \dots, u_{n-2} \in F,$$

$$e_{n} \to \tilde{e}_{n} = e_{n} + \sum_{j=1}^{n} v_{j} e_{j}, \qquad v_{1}, \dots, v_{n-1} \in F.$$
(24)

(To prove that (24) gives a general form of such a transformation it is sufficient to consider $[\tilde{e}_k, e_n] = [\tilde{e}_k, \tilde{e}_n] = \tilde{e}_{k-1}$ and to use induction on k.) The scaling (23) acts on D^k as

$$D^k \to SD^kS^{-1}$$

where $S = \operatorname{diag}(\tau \omega^{n-2}, \tau \omega^{n-3}, \dots, \tau, \omega)$, transformation (24) as

$$D^k \to UD^kU^{-1}$$

where

$$U = \begin{pmatrix} 1 & 0 & 0 & 0 & \dots & 0 \\ u_1 & 1 & 0 & 0 & \dots & 0 \\ u_2 & u_1 & 1 & 0 & \dots & 0 \\ & \ddots & \ddots & \ddots & & \\ u_{n-2} & \dots & u_2 & u_1 & 1 & 0 \\ v_1 & \dots & v_{n-3} & v_{n-2} & v_{n-1} & 1 \end{pmatrix}.$$

(iii) We can change the basis in the space span $\{D^1, \ldots, D^p\}$

By adding inner derivations we can transform all D^k into the form

$$D^{k} = \begin{pmatrix} d_{1}^{k} & 0 & 0 & 0 & \dots & 0 \\ 0 & d_{2}^{k} & 0 & 0 & \dots & 0 \\ a_{3}^{k} & 0 & d_{3}^{k} & 0 & \dots & 0 \\ & \ddots & \ddots & \ddots & \\ a_{n-1}^{k} & \dots & a_{3}^{k} & 0 & \beta^{k} & 0 \\ 0 & \dots & 0 & 0 & a_{n}^{k} & \alpha^{k} \end{pmatrix},$$

$$(25)$$

where

$$d_1^k = (n-2)\alpha^k + \beta^k, \dots, \qquad d_j^k = (n-1-j)\alpha^k + \beta^k, \dots, \qquad d_{n-2}^k = \alpha^k + \beta^k.$$

We shall assume that D^k are always brought to this form.

We see that the number of nil-independent elements p can be at most two since a set of three or more derivations of the form (25) cannot be linearly nil-independent.

Case 1. p = 1 The entire structure of the associated solvable Lie algebra is encoded in the matrix D. The Lie brackets of the non-nilpotent element f with nilpotent elements are given by

$$[f, e_k] = D(e_k) = D_{kl}e_l.$$

We shall divide our investigation into subcases determined by values of the parameters α , β , at least one of which must be nonzero.

(i) $\alpha \neq 0$. We rescale D to put $\alpha = 1$. Then by a change of basis (24) in \mathfrak{n}

$$\tilde{e}_k = e_k - \frac{1}{l-1} a_l e_{k-l+1}, \qquad l \leqslant k \leqslant n-1,$$

$$\tilde{e}_k = e_k, \qquad 1 \leqslant k \leqslant l - 1$$

we put to zero first a_3 , then a_4 etc up to a_{n-1} . From now on we assume that $a_k = 0$, $k \le n-1$. If $\beta \ne 1$ (= α) then a further change of basis

$$\tilde{e}_n = e_n - \frac{a_n}{\beta - 1} e_{n-1} \tag{26}$$

turns a_n into zero and the matrix D is diagonal

$$D = diag(n - 2 + \beta, n - 3 + \beta, \dots, \beta, 1). \tag{27}$$

If $\beta = 1$ then a_n cannot be removed. The only remaining transformation is scaling (23) which allows us to scale any nonzero a_n to 1. Therefore we find in addition to (27) with $\beta = 1$ another possibility, namely

$$D = \begin{pmatrix} n-1 & 0 & \dots & 0 & 0 \\ 0 & n-2 & \dots & 0 & 0 \\ & & \ddots & & \\ 0 & 0 & \dots & 1 & 0 \\ 0 & 0 & \dots & 1 & 1 \end{pmatrix}. \tag{28}$$

(ii) $\alpha = 0$ We rescale D to put $\beta = 1$. We use (24) to change e_n

$$\tilde{e}_n = e_n - a_n e_{n-1}$$

and transform a_n into $a_n = 0$. If D is diagonal, it cannot be further simplified. Let us assume that D is not diagonal. For $\alpha = 0$ the matrix D is invariant with respect to transformations (24) preserving $a_n = 0$, i.e. the parameters a_k cannot be removed. The only transformation we still have at our disposal is scaling (23) which allows us to scale one chosen nonzero a_k to 1 over the field $\mathbb C$. Over $\mathbb R$ one value a_k can be scaled to 1 if k is even, or to ± 1 if k is odd.

Case 2. p = 2. By taking linear combinations of D^1 , D^2 we obtain $\alpha^1 = 1$, $\beta^1 = 0$, $\alpha^2 = 0$, $\beta^2 = 1$. Further by a change of basis in \mathfrak{n} (24) we take D^1 to its canonical form

$$D^1 = diag(n-2, n-3, \dots, 2, 1, 0, 1)$$

found for p = 1. In order to define a solvable Lie algebra \mathfrak{g} with nilradical \mathfrak{n} , the two derivations D^1 , D^2 must commute to an inner derivation

$$[D^1, D^2] \in \text{span}\{\text{ad}_{e_2}, \dots, \text{ad}_{e_n}\}.$$

Computing the commutator for the above given forms of D^1 , D^2 (note that D^1 is diagonal) we immediately find that

$$a_i^2 = 0, 3 \leqslant j \leqslant n$$

must hold.

Therefore there is a single canonical form of D^1 , D^2

$$D^{1} = \operatorname{diag}(n-2, n-3, \dots, 2, 1, 0, 1), \qquad D^{2} = \operatorname{diag}(1, 1, \dots, 1, 1, 0). \tag{29}$$

The corresponding solvable Lie algebra is now almost specified, the Lie brackets of non-nilpotent elements f_1 , f_2 being

$$[f_1, e_k] = (n - 1 - k)e_k,$$
 $1 \le k < n,$ $[f_1, e_n] = e_n,$
 $[f_2, e_k] = e_k,$ $1 \le k < n,$ $[f_2, e_n] = 0.$

It remains to fix the Lie bracket between f_1 , f_2 . Because D^1 and D^2 are commuting matrices representing f_1 , f_2 in the adjoint representation of \mathfrak{g} restricted to the ideal \mathfrak{n} , the Lie bracket of f_1 , f_2 must be in the kernel of the representation map, i.e. in the centre of \mathfrak{n}

$$[f_1, f_2] = \gamma e_1. \tag{30}$$

The transformation

$$f_1 \rightarrow \tilde{f}_1 = f_1 + \gamma e_1$$

takes γ in equation (30) into $\gamma=0$ while leaving all other Lie brackets invariant. We conclude that in the case p=2 the solvable Lie algebra with nilradical $\mathfrak{n}_{n,1}$ is unique.

3.3. Standard forms of solvable Lie algebras with nilradical $\mathfrak{n}_{n,1}$

The results obtained above can be summed up as theorems. We give them for algebras over the field $F = \mathbb{R}$ or \mathbb{C} . We specify $F = \mathbb{R}$, or \mathbb{C} only when the two cases differ. In all cases we give the dimensions of the subalgebras in the characteristic series. These dimensions are basis independent and are very useful for identifying the Lie algebras. The nilradical in all cases is $\mathfrak{n}_{n,1}$ with the Lie brackets (4). We shall specify the action of the non-nilpotent elements f or f_1 and f_2 on the nilradical (see equation (12)).

In the theorems, 'solvable' will always mean solvable, indecomposable, non-nilpotent.

Theorem 1. Any solvable Lie algebra $\mathfrak s$ with nilradical $\mathfrak n_{n,1}$ will have dimension $\dim \mathfrak s = n+1$, or $\dim \mathfrak s = n+2$.

Theorem 2. Three types of solvable Lie algebras of dimension dim $\mathfrak{s}=n+1$ exist for any $n \geqslant 4$. They are represented by the following:

(i) $A = A_1$ in equation (12) diagonal

$$[f, e_k] = ((n - k - 1)\alpha + \beta)e_k, \qquad 1 \le k \le n - 1, \qquad [f, e_n] = \alpha e_n.$$
 (31)

The mutually nonisomorphic algebras of this type are

$$\mathfrak{s}_{n+1,1}(\beta): \quad \alpha = 1, \qquad \beta \in F \setminus \{0, n-2\},$$
 (32)

$$DS = [n+1, n, n-2, 0],$$
 $CS = [n+1, n, n, ...],$ $US = [0],$

$$\mathfrak{s}_{n+1,2}: \quad \alpha = 1, \qquad \beta = 0, \tag{33}$$

$$DS = [n+1, n-1, n-3, 0],$$
 $CS = [n+1, n-1, n-1, ...],$ $US = [0],$

$$\mathfrak{s}_{n+1,3}: \quad \alpha = 1, \qquad \beta = 2 - n, \tag{34}$$

$$DS = [n+1, n, n-2, 0],$$
 $CS = [n+1, n, n, ...],$ $US = [1, 1, ...],$

$$\mathfrak{s}_{n+1,4}: \quad \alpha = 0, \qquad \beta = 1, \tag{35}$$

$$DS = [n+1, n-1, 0],$$
 $CS = [n+1, n-1, n-1, ...],$ $US = [0].$

(ii) $A = A_1$ in equation (12) nondiagonal, its diagonal determined by $\alpha = \beta = 1$. We have $\mathfrak{s}_{n+1,5}$: $[f, e_k] = (n-k)e_k$, $1 \le k \le n-1$, $[f, e_n] = e_n + e_{n-1}$, DS = [n+1, n, n-2, 0], $CS = [n+1, n, n, \dots]$, US = [0].

(iii) $A = A_1$ in equation (12) nondiagonal, its diagonal determined by $\alpha = 0$, $\beta = 1$.

$$\mathfrak{s}_{n+1,6}(a_3,\ldots,a_{n-1}):[f,e_k] = e_k + \sum_{l=1}^{k-2} a_{k-l+1}e_l, \qquad 1 \leqslant k \leqslant n-1,$$

$$[f,e_n] = 0,$$
(37)

 $a_i \in F$, at least one a_i satisfies $a_i \neq 0$.

Over \mathbb{C} : the first nonzero a_i satisfies $a_i = 1$.

Over \mathbb{R} : the first nonzero a_j for even j satisfies $a_j = 1$. If all $a_j = 0$ for j even, then the first nonzero a_j (j odd) satisfies $a_j = \pm 1$. We have

$$DS = [n+1, n-1, 0],$$
 $CS = [n+1, n-1, n-1, ...],$ $US = [0].$

Theorem 3. Precisely one class of solvable Lie algebras \mathfrak{s}_{n+2} of dim $\mathfrak{s}=n+2$ with nilradical $\mathfrak{n}_{n,1}$ exists. It is represented by a basis $(e_1,\ldots,e_n,\,f_1,\,f_2)$ and the Lie brackets involving f_1 and f_2 are

$$[f_1, e_k] = (n - 1 - k)e_k, 1 \le k \le n - 1, [f_1, e_n] = e_n, [f_2, e_k] = e_k, 1 \le k \le n - 1, [f_2, e_n] = 0, [f_1, f_2] = 0.$$
(38)

For this algebra

$$DS = [n+2, n, n-2, 0],$$
 $CS = [n+2, n, n, ...],$ $US = [0].$ (39)

4. Generalized Casimir invariants

4.1. General method

The term *Casimir operator*, or *Casimir invariant*, is usually reserved for elements of the centre of the enveloping algebra of a Lie algebra \mathfrak{g} [29, 30]. These operators are in one-to-one correspondence with polynomial invariants characterizing orbits of the coadjoint representation of \mathfrak{g} [31]. The search for invariants of the coadjoint representation is algorithmic and amounts to solving a system of linear first-order partial differential equations [32–36, 15, 24, 26, 28]. Alternatively, global properties of the coadjoint representation can be used [36]. In general, solutions are not necessarily polynomials and we shall call the nonpolynomial solutions *generalized Casimir invariants*. For certain classes of Lie algebras, including semisimple Lie algebras, perfect Lie algebras, nilpotent Lie algebras and more generally algebraic Lie algebras, all invariants of the coadjoint representation are functions of polynomial ones [32, 33].

Casimir invariants are of primordial importance in physics. They represent such important quantities as angular momentum, elementary particle's mass and spin, Hamiltonians of various physical systems etc.

In the representation theory of solvable Lie algebras the invariants are not necessarily polynomials, i.e. they can be genuinely generalized Casimir invariants. In addition to their importance in representation theory, they may occur in physics. Indeed, Hamiltonians and integrals of motion for classical integrable Hamiltonian systems are not necessarily polynomials in the momenta [37, 38], though typically they are invariants of some group action.

In order to calculate the (generalized) Casimir invariants we consider some basis (g_1, \ldots, g_n) of \mathfrak{g} , in which the structure constants are c_{ij}^k . A basis for the coadjoint representation is given by the first-order differential operators

$$\hat{G}_k = g_b c_{ka}^b \frac{\partial}{\partial g_a}.\tag{40}$$

In equation (40) the quantities g_a are commuting independent variables which can be identified with coordinates in the dual basis of the space \mathfrak{g}^* , dual to the algebra \mathfrak{g} .

The invariants of the coadjoint representation, i.e. the generalized Casimir invariants, are solutions of the following system of partial differential equations:

$$\hat{G}_k I(g_1, \dots, g_n) = 0, \qquad k = 1, \dots, n.$$
 (41)

The number of functionally independent solutions of system (41) is

$$n_I = n - r(C) \tag{42}$$

where C is the antisymmetric matrix

$$C = \begin{pmatrix} 0 & c_{12}^{b} g_{b} & \dots & c_{1n}^{b} g_{b} \\ -c_{12}^{b} g_{b} & 0 & \dots & c_{2n}^{b} g_{b} \\ \vdots & \vdots & & & \\ -c_{1,n-1}^{b} g_{b} & \dots & 0 & c_{n-1,n}^{b} g_{b} \\ -c_{1n}^{b} g_{b} & \dots & -c_{n-1,n}^{b} g_{b} & 0 \end{pmatrix}$$

$$(43)$$

and r(C) is the generic rank of C. Since C is antisymmetric, its rank is even. Hence n_I has the same parity as n.

Since the method of computation is generally known, we shall not present details and just give the results in the form of theorems. In all cases proofs consist of a direct calculation, i.e. solving equations (41).

4.2. The generalized Casimir invariants

The differential operators corresponding to the basis elements of $\mathfrak{n}_{n,1}$ are

$$\hat{E}_1 = 0,$$
 $\hat{E}_k = e_{k-1} \frac{\partial}{\partial e_n},$ $1 < k < n,$ $\hat{E}_n = -\sum_{k=2}^{n-1} e_{k-1} \frac{\partial}{\partial e_k}.$ (44)

The form of \hat{E}_k , (1 < k < n) implies that the invariants do not depend on e_n . Solving the equation $\hat{E}_n I(e_1, e_2, \dots, e_{n-1}) = 0$ by the method of characteristics, we obtain the following result

Theorem 4. The nilpotent Lie algebra $\mathfrak{n}_{n,1}$ has n-2 functionally independent invariants. They can be chosen to be the following polynomials:

$$\xi_0 = e_1,$$

$$\xi_k = \frac{(-1)^k k}{(k+1)!} e_2^{k+1} + \sum_{j=0}^{k-1} (-1)^j \frac{e_2^j e_{k+2-j} e_1^{k-j}}{j!}, \qquad 1 \leqslant k \leqslant n-3.$$
(45)

Let us now consider the (n + 1)-dimensional solvable Lie algebras of theorem 2. The operators \hat{E}_i representing $\mathfrak{n}_{n,1}$ will each contain an additional term involving a derivative with respect to f. However, from the form of these operators we see that the invariants cannot depend on f. Moreover, they can only depend on the invariants (45) of $\mathfrak{n}_{n,1}$. To find the

invariants of the algebras $\mathfrak{s}_{n+1,k}$ we must represent the element $f \in \mathfrak{s}_{n+1,k}$ by the appropriate 'truncated' differential operator \hat{F}_T (by 'truncated' we mean that we keep only the part acting on e_1, \ldots, e_{n-1}). We must then solve the equation

$$\hat{F}_T I(\xi_0, \dots, \xi_{n-3}) = 0. \tag{46}$$

For the algebras $\mathfrak{s}_{n+1,1},\ldots,\mathfrak{s}_{n+1,5}$ of theorem 2, we have

$$\hat{F}_T = \sum_{k=1}^{n-1} ((n-1-k)\alpha + \beta)e_k \frac{\partial}{\partial e_k}$$
(47)

with α and β as in theorem 2. For $\mathfrak{s}_{n+1,6}(a_3,\ldots,a_{n-1})$ we have

$$\hat{F}_T = e_1 \frac{\partial}{\partial e_1} + e_2 \frac{\partial}{\partial e_2} + \sum_{l=1}^{n-3} \left(e_{l+2} + \sum_{j=1}^{l} a_{l+3-j} e_j \right) \frac{\partial}{\partial e_{l+2}}.$$
 (48)

Solving equation (46) in each case we obtain the following result.

Theorem 5. The algebras $\mathfrak{s}_{n+1,1}(\beta), \ldots, \mathfrak{s}_{n+1,5}$ have n-3 invariants each. Their form is

(i) $\mathfrak{s}_{n+1,1}(\beta)$, $\mathfrak{s}_{n+1,2}$ and $\mathfrak{s}_{n+1,5}$

$$\chi_k = \frac{\xi_k}{\xi_0^{(k+1)\frac{n-3+\beta}{n-2+\beta}}}, \qquad 1 \leqslant k \leqslant n-3.$$
 (49)

For $\mathfrak{s}_{n+1,2}$ and $\mathfrak{s}_{n+1,5}$ we have $\beta=0$ and $\beta=1$, respectively, in equation (49).

(ii) $\mathfrak{s}_{n+1,3}$

$$\chi_1 = \xi_0, \qquad \chi_k = \frac{\xi_k^2}{\xi_1^{k+1}}, \qquad 2 \leqslant k \leqslant n-3.$$
(50)

(iii) $\mathfrak{s}_{n+1,4}$

$$\chi_k = \frac{\xi_k}{\xi_0^{k+1}}, \qquad 1 \leqslant k \leqslant n-3.$$
(51)

(iv) $\mathfrak{s}_{n+1,6}(a_3,\ldots,a_{n-1})$

$$\chi_k = \sum_{m=0}^{\left[\frac{k+1}{2}\right]} (-1)^m \frac{(\ln \xi_0)^m}{m!} \left(\sum_{i_1 + \dots + i_m = k-2m+1} a_{i_1 + 3} a_{i_2 + 3} \dots a_{i_m + 3} \right)$$
(52)

$$+ \sum_{j+i_1+\ldots+i_m=k-2m-1} \frac{\xi_{j+1}}{\xi_0^{j+2}} a_{i_1+3} a_{i_2+3} \ldots a_{i_m+3} , \qquad 1 \leqslant k \leqslant n-3.$$

The summation indices take the values $0 \le j, i_1, \dots, i_m \le k + 1$.

Finally, let us consider the (n + 2)-dimensional algebra \mathfrak{s}_{n+2} . The invariants can again depend only on ξ_0, \ldots, ξ_{n-3} . We have two additional truncated differential operators, namely

$$\hat{F}_{1T} = \sum_{k=1}^{n-1} (n-1-k)e_k \frac{\partial}{\partial e_k}, \qquad \hat{F}_{2T} = \sum_{k=1}^{n-1} e_k \frac{\partial}{\partial e_k}.$$
 (53)

Imposing two equations of form (46) we obtain the following result.

Nilradical n dim n $n \geqslant 4$ 2 $n_{n,1}$ $n \geqslant 3$ \mathfrak{a}_n n-1n = 11 a_1 $1(\mathbb{C}), 2(\mathbb{R})$ \mathfrak{a}_2 $\mathfrak{h}(N)$ n = 2N + 1, $N \geqslant 1$ N+1 $N \geqslant 2$ $\mathfrak{t}(N)$

Table 1. Number of linearly nil-independent elements that can be added to the nilradical.

Theorem 6. The Lie algebra \mathfrak{s}_{n+2} of theorem 3 has n-4 functionally independent invariants that can be chosen to be

$$\chi_k = \frac{\xi_{k+1}}{\xi_1^{\frac{k+2}{2}}}, \qquad 1 \leqslant k \leqslant n-4.$$
(54)

We see that for the algebra $\mathfrak{s}_{n+1,6}(a_3,\ldots,a_{n-1})$ the invariants involve powers of the logarithm $\ln \xi_0$. In all other cases we obtain sets of ratios of powers of ξ_k .

A specific class of solvable Lie algebras, namely rigid ones, was considered by Campoamor-Stursberg [35], who calculated their generalized Casimir invariants for dimensions up to N = 8 inclusively. Our algebras \mathfrak{s}_{n+2} fall into this category (with N = n+2). Our results for $n \le 6$ agree with those of [35].

5. Conclusions

The main results of this paper are summed up in theorems 1, 2 and 3 of section 3 and theorems 4, 5 and 6 of section 4.

The results on the structure of indecomposable solvable Lie algebras with the nilradical $\mathfrak{n}_{n,1}$ are quite simple and it is interesting to compare them with results for other nilradicals. This comparison is performed in table 1. There \mathfrak{a}_n denotes an n-dimensional Abelian Lie algebra, $\mathfrak{h}(N)$ a Heisenberg algebra in an N-dimensional space and $\mathfrak{t}(N)$ is the subalgebra of strictly upper triangular matrices of $\mathfrak{s}l(N,F)$. In the third column p_{\max} is the maximal number of non-nilpotent elements we can add in order to obtain an indecomposable solvable Lie algebra. Note that p_{\max} is independent of the dimension of the nilradical only for $\mathfrak{n}_{n,1}$.

The results on generalized Casimir invariants are also quite simple. For the nilradical $\mathfrak{n}_{n,1}$ the number of invariants is $n_I = n - 2 - p$, where p is the number of non-nilpotent elements (i.e. p = 1 or p = 2). In comparison, for the Abelian nilradical \mathfrak{a}_n , the number of invariants is $n_I = n - p$. In both cases the invariants depend only on elements of the nilradical and can be polynomials, ratios of powers of polynomials, or may involve logarithms.

Finally, a few words about applications of the Lie algebras obtained above. The algebras $\mathfrak{s}_{n+1,j}$ of theorem 2, for n=4, appear in Petrov's classification [19] of gravitational fields admitting groups of motion (isometry groups) of dimension 5. The fact that we have a complete list of all such Lie algebras for arbitrary n would enable us to construct the corresponding invariant Riemann, or pseudo-Riemann metrics in spaces of arbitrary dimension. An invariant metric then makes it possible to write invariant classical, or quantum integrable systems in such spaces and to investigate the separation of variables in Hamilton–Jacobi and Schrödinger equations.

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